



3632

248946.0005 (DONO-101.2) PATENT

CERTIFICATE OF MAILING

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Date: May 19, 2001

Theresa Doonan Recupido

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): DONOFRIO, Nicholas

Serial No.: 09/775,528

Group Art Unit: 3632

Confirmation No.: 2682

Examiner: N/A

Filed: February 1, 2001

For: **DISPLAY DEVICE FOR SPORTS MEMORABILIA**

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## INFORMATION DISCLOSURE STATEMENT

- ☒ 1. Pursuant to 37 CFR 1.97(b)  
[within 3 months of filing or prior to 1st Office Action]
- ☐ 2. Certification Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 3. Fee Payment Pursuant to 37 CFR 1.97(c)  
[before Final Office Action or Allowance]
- ☐ 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)  
[before issue fee payment]

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5. A list of documents on form PTO-1449.\*

\* MPEP §609A(2) and 37 CFR 1.98(d) provides for an exception to the general rule that a legible copy of each U.S. Patent and foreign patent be enclosed if the patents were previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied on for an earlier filing date under 35 U.S.C. 120. Copies were submitted to the PTO with prior application no. 09/248,702

(filed on February 11, 1999), now U.S. Patent No. 6,199,804 B1 and this application is being relied upon for an earlier filing date.

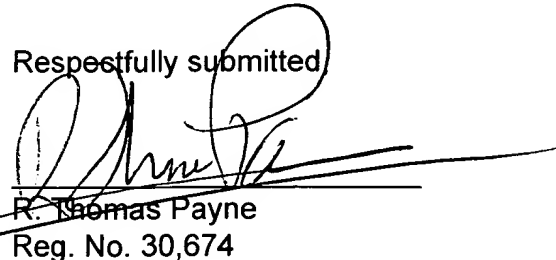
This paper is submitted in accordance with:

- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8. The required certification made in item 11 below; or
- ☐ 9. The \$210.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10. 37 CFR §1.97(d): [before issue fee payment]; and
  - a) The required fee as set forth in 37 CFR 1.17(p).
  - b) The required Certification is stated in item 11 below.
- ☐ 11. Certification
- ☐ 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

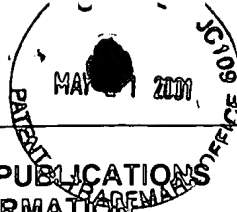
- [X] 14. Please charge any applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 11-0231. An original and two (2) copies of this document are enclosed.

Date: May 16, 2001

Respectfully submitted



R. Thomas Payne  
Reg. No. 30,674  
Cummings & Lockwood  
Four Stamford Plaza  
P.O. Box 120  
Stamford, CT 06904-0120  
Telephone: (203) 351-4103



<b>FORM PTO-1449</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S INFORMATION</b> <b>DISCLOSURE STATEMENT</b>	<b>ATTY. DOCKET NO.</b> 248946.0005 (DONO-101.2)	<b>SERIAL NUMBER</b> 09/775,528
	<b>APPLICANT(S)</b> DONOFRIO	
	<b>FILING DATE</b> February 1, 2001	<b>GROUP ART UNIT</b> 3632

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER							DOCUMENT DATE	INVENTOR	CLASS	SUB- CLASS	FILING DATE
	AA		3	3	9	0	7	2	3/1886	Nies	248	231.71	
	AB	D	3	3	5	4	0	0	5/1993	Reich	D6	470	
	AC	D	3	6	5	9	4	8	1/1996	Borden	D6	470	
	AD	2	2	6	2	8	7	3	11/1941	Wise	40	607	
	AE	2	3	6	8	3	4	7	1/1945	Colberg	434	131	
	AF	2	5	7	2	4	5	4	10/1951	Down, et al.	40	68	
	AG	2	7	2	7	3	2	5	12/1955	Jurinic	41	4	
	AH	3	2	3	1	9	9	3	2/1966	Levy	40	493	
	AI	3	5	0	8	7	3	2	4/1970	Trachtenberg, et al.	248	226	
	AJ	3	5	5	9	9	3	5	2/1971	Gardner	248	125	
	AK	3	6	0	1	4	5	1	8/1971	Melcher	312	125	
	AL	4	2	7	8	2	2	3	7/1981	Fauteux	248	125	
	AM	4	8	4	8	7	1	4	7/1989	Ziaylek, Jr., et al.	248	544	
	AN	5	0	8	2	1	1	0	1/1992	Hager	206	232	
	AO	5	1	6	5	5	3	8	11/1992	Peters	206	315.9	
	AP	5	3	7	7	8	2	9	1/1995	Bahl	206	315.9	
	AQ	5	5	6	0	5	7	9	10/1996	Woodside	248	316.8	
	AR	5	6	9	5	0	5	6	12/1997	Bender, et al.	206	315.9	
	AS	5	7	3	5	0	7	5	4/1998	Honkawa, et al.	47	41.12	
	AT	5	8	2	6	8	3	7	10/1998	Moineau, et al.	248	97	
	AU	5	8	6	8	2	4	9	2/1999	Ehnert	206	315.9	
	AV	5	8	8	1	8	8	5	3/1999	Grimm	206	779	
	AW	5	8	9	3	5	5	3	4/1999	Pinkous	269	249	
	AX	6	0	1	6	9	1	0	1/2000	Rodearmel	206	315.9	
	AY	6	0	2	9	8	2	6	2/2000	Clay	211	14	

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER							DOCUMENT DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**


**EXAMINER INITIAL**

**DATE CONSIDERED**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.